



Overseas Tax Residency Self Certification Declaration

Please complete this form in black or blue pen using CAPITAL LETTERS and **X** where appropriate.

Instructions to complete

If you are:

- i) An individual/joint account holder or a controlling person of a company/trust (for example, directors, trustees, beneficial owners, senior managing officials, partners, secretaries, chairmen and/or public officers), complete Section 1;
- ii) A company/trust, complete Section 2.

Section 1 Individuals – Overseas Tax Residency

Customer 1

Title	First name	Middle name(s)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Surname	DOB	
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>	

Are you a US citizen or US resident for tax purposes? Yes No

If yes, please provide your Taxpayer Identification Number (TIN)

Are you a resident of any other country for tax purposes? (excluding Australia) Yes No

If yes, please provide the name of each country, a TIN for each country or a reason why you're not providing a TIN, and an explanation if reason B is selected for a country.

Country	TIN

Reason if no TIN	Explanation if reason code B is selected

If no TIN is provided, select a reason from the following list:

- A - This country does not issue TINs
- B - I don't have a TIN for this country (please explain why above)
- C - It is not mandatory for me to disclose my TIN for this country.

Customer 3

Title	First name	Middle name(s)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Surname	DOB	
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>	

Occupation

Are you a US citizen or US resident for tax purposes? Yes No

If yes, please provide your Taxpayer Identification Number (TIN)

Are you a resident of any other country for tax purposes? (excluding Australia) Yes No

If yes, please provide the name of each country, a TIN for each country or a reason why you're not providing a TIN, and an explanation if reason B is selected for a country on the following page.

Customer 2

Title	First name	Middle name(s)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Surname	DOB	
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>	

Are you a US citizen or US resident for tax purposes? Yes No

If yes, please provide your Taxpayer Identification Number (TIN)

Are you a resident of any other country for tax purposes? (excluding Australia) Yes No

If yes, please provide the name of each country, a TIN for each country or a reason why you're not providing a TIN, and an explanation if reason B is selected for a country.

Country	TIN

Reason if no TIN	Explanation if reason code B is selected

If no TIN is provided, select a reason from the following list:

- A - This country does not issue TINs
- B - I don't have a TIN for this country (please explain why above)
- C - It is not mandatory for me to disclose my TIN for this country.

Customer 4

Title	First name	Middle name(s)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Surname	DOB	
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>	

Occupation

Are you a US citizen or US resident for tax purposes? Yes No

If yes, please provide your Taxpayer Identification Number (TIN)

Are you a resident of any other country for tax purposes? (excluding Australia) Yes No

If yes, please provide the name of each country, a TIN for each country or a reason why you're not providing a TIN, and an explanation if reason B is selected for a country on the following page.

Customer 3 (continued)

Country	TIN

Reason if no TIN	Explanation if reason code B is selected

If no TIN is provided, select a reason from the following list:
 A - This country does not issue TINs
 B - I don't have a TIN for this country (please explain why above)
 C - It is not mandatory for me to disclose my TIN for this country.

Customer 4 (continued)

Country	TIN

Reason if no TIN	Explanation if reason code B is selected

If no TIN is provided, select a reason from the following list:
 A - This country does not issue TINs
 B - I don't have a TIN for this country (please explain why above)
 C - It is not mandatory for me to disclose my TIN for this country.

Section 2 Companies/Trusts – Overseas Tax Residency

Type of entity Company Trust

ABN/ACN (if applicable)

Full name of Company or Trust

FATCA Tax Information (US)

Is your business organised or incorporated in the US? Yes No

If yes, please provide your Employer Identification Number (EIN)

Is your business a financial institution? Yes No

If yes, please provide your Global Intermediary Identification Number

AND please provide any other FATCA status

In the preceding calendar year, did your business:

- a) Earn 50% or more of its gross income from investment sources*; and
- b) Hold 50% or more of its assets^ to produce investment income; and
- c) Have US citizens or US residents as controlling persons (beneficial owners)? Yes No

If yes to the above question, the applicable controlling person(s) must complete Section 1.

CRS Tax Information (all other countries)

Is your business a resident of any country other than Australia for tax purposes? Yes No

If yes, provide the name of the country and Taxpayer Identification Number (TIN) for each country or a reason if no TIN and an explanation if reason B is selected.

Country	TIN	Reason if no TIN	Explanation if reason code B is selected

If no TIN is provided, select a reason from the following:

- A - This country does not issue TINs
- B - I don't have a TIN for this country (please tell us why above)
- C - It's not mandatory to disclose a TIN for this country

In the preceding calendar year, did your business:

- a) Earn 50% or more of its gross income from investment sources*; and
- b) Hold 50% or more of its assets^ to produce investment income? Yes No

*Examples of investment income sources are dividends, rental income, interest, distributions, royalties and annuities.

^Examples of investment assets are shares, property and bonds.